

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

Christian Ochs et al.

Examiner:

Unknown

Serial No.:

∠ 10/595,955

May 22, 2006

Filed:

METHOD FOR PRODUCING CARBONYL REST-

CONTAINING ORGANOSILICON COMPOUNDS

Attorney Docket No.: WAS 0781 PUSA

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Sop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Aksandria\_VA. 23319-4450 on:

P/10/2006

William G. Conger Name of Person Signing





10595955 - GAU: 1796

Receipt date: 08/14/2006

S/N: 10/595.955

Atty Dkt No. WAS 0781 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr.

Maria Deffner-Lehner describing the content of the references cited in the Information

Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted, Christian Ochs et al.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: Ayut 10,2000

BROOKS KUSHMAN P.C. 1000 Town Center, 22nd Floor Southfield, MI 48075-1238

Phone: 248-358-4400 Fax: 248-358-3351



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of CHRISTIAN OCHS Serial Number Filed:

Group Art Unit:

For: METHOD FOR PRODUCING CARBONYL REST-CONTAINING ORGANOSII ICON COMPOUNDS

#### Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 5,739,246 A, cited in the application as well as in the International Search Report, is enclosed

US 6,121,404 A, cited in the International Search Report, is enclosed.

For FR 1,224,081, cited in the application, the corresponding US 2,970,150 A1 is enclosed.

For EP 1 302 456 A1, cited in the application, the corresponding English Derwent Abstract AN 2003-450891 [43] is enclosed.

For FR 1,531,637, cited in the application, the corresponding English CAPLUS Abstract AN 1969:450207 is enclosed.

Receipt date: 08/14/2006

For EP 0 392 948 A1, cited in the application, the corresponding English Derwent Abstract AN 1990-314604 [42] is enclosed.

US 2,803,637, cited in the application, is enclosed.

EP 0 402 274 A1, cited in the application, the corresponding English Derwent Abstract AN 1990-370275 [50] is enclosed.

US 5,021,601, cited in the application, is enclosed.

For DE 3632869 A1, cited in the application, the corresponding English Derwent Abstract AN 1988-092561 [14] is enclosed.

US 2,947,770, cited in the application, is enclosed.

EP 0 612 759 B1, cited in the application, the corresponding English Derwent Abstract AN 1994-265900 [33], is enclosed.

Committee of the International Union of Biochemistry and Molecular Biology, Enzyme Nomenclature, Academic Press, Inc., 1992, pages 24 – 154, cited in the application, is already in English language and is enclosed.

Ullmann's Encyclopedia of Industrial Chemistry, vol. B2, 5<sup>th</sup> Edition, VCH Weinheim, 1988, pages 24 – 1 to 25 – 13 and 25 – 19 to 25 – 21, cited in the application, is already in English language and is enclosed.

Ullmann's Encyclopedia of Industrial Chemistry, vol. B4, 5<sup>th</sup> Edition, VCH Weinheim, 1992, pages 591 - 586, cited in the application, is already in English language and is enclosed.

Ullmann's Encyclopedia of Industrial Chemistry, vol. B4,  $5^{\rm th}$  Edition, VCH Weinheim, 1992, pages 87 – 120, cited in the application, is already in English language and is enclosed.

Signed this 19 day of June, 2006.

Dr. Maria Deffner-Lehner

Receipt date: 08/14/2006 10595955 GALL: 1796

Approved for use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for Form 1449A/PTO Application Number 10/595,955 INFORMATION DISCLOSURE Filing Date May 22, 2006 **STATEMENT BY APPLICANT** First Named Inventor Christian Ochs et al. Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown 1 of 2 Attorney Docket Number WAS 0781 PUSA

				U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	U.S. PATENT DOCUMENT Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2,803,637		J. Speier	08/20/1957	
		2,947,770		Snyder et al.	08/02/1960	
		2,970,150		Snyder	01/31/1961	
		5,021,601		Frances et al.	06/04/1991	
		5,739,246		Graiver et al.	04/14/1998	
		6,121,404		Liles	09/19/2000	
$\Box$						
$\overline{}$						
			_			
$\overline{}$	<u> </u>					
	Щ.					

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Offic	Foreign Patent Doc	kind Code <sup>5</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		EP	1 302 456		Consortium fur elek.	04/16/2003		1
		DE	36 32 869		Bayer AG	03/31/1988		1
		EP	0 612 759		Wacker-Chemie	10/02/1996		1
		FR	1 224 081		Union Carbide	06/22/1960		/
		EP	0 392 948		Rhone-Poulenc	10/17/1990		1
		EP	0 402 274		Rhone-Poulenc	12/12/1990		1
								$\neg$

Examiner Signature	/Kuo Liang Peng/	Date Considered	08/24/2009

<sup>\*</sup>EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3. \*For Japanese patient documents, the indication of the year of the reign of the Emperor must proceed the serial number of the patient document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

THE THE

PTO/SB/08B (10-96) [reproduced] Approved for use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

AUG 1 4 2006 Complete if Known or Form 1449B/PTO Application Number 10/595,955 TNFORMATION DISCLOSURE Filing Date May 22, 2006 STATEMENT BY APPLICANT First Named Inventor Christian Ochs et al. Group Art Unit Unknown (use as many sheets as necessary) Examiner Name Unknown Sheet of Attorney Docket Number WAS 0781 PUSA OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examine item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. тz Initials Cite No 1 ULLMANN'S ENCYCLOPEDIA OF INDUSTRIAL CHEMISTRY, Vol. B2, 5th Ediction, VCH Weinheim, 1988, pp. 24-1 to 25-13 & 25-19 to 25-21. ULLMANN'S ENCYCLOPEDIA OF INDUSTRIAL CHEMISTRY, Vol. B4, 5th Edition, VCH Weinheim, 1992, pp. 591-586. ULLMANN'S ENCYCLOPEDIA OF INDUSTRIAL CHEMISTRY, Vol. B4, 5th Edition, VCH Weinheim, 1992, pp. 87 - 120. Committee of the International Union of Biochemistry and Molecular Biology, ENZYME NOMENCLATURE, Academic Press, Inc. 1992, pp. 24 - 154. English Derwent Abstract AN 2003-450891 [43] corres. to EP 1 302 456 A1. English Derwent Abstract AN 1990-314604 [42] corres. to EP 0 392 948. English Derwent Abstract AN 1990-370275 [50] corres. to EP 0 402 274. English Derwent Abstract AN 1988-092561 [14] corres. to DE 3632869. English Derwent Abstract AN 1994-265900 [33] corres, to EP 0612759. English Derwent Abstract AN 1969-450207 corres. to FR 1531637

Examiner Signature	/Kuo Liang Peng/	Date Considered	08/24/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.